

FORM-PTO-1390
(Rev. 12-29-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

003300-782

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/856576

INTERNATIONAL APPLICATION NO.
PCT/SE99/02197

INTERNATIONAL FILING DATE
25 November 1999

PRIORITY DATE CLAIMED
25 November 1998

TITLE OF INVENTION
MEDICINAL PRODUCT AND METHOD FOR TREATMENT OF CONDITIONS AFFECTING NEURAL STEM CELLS OR PROGENITOR CELLS

APPLICANT(S) FOR DO/EO/US
PETER ERIKSSON

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

The Preliminary Amendment filed herewith cancels Claims 1 to 31 that were submitted on 12 February 2001 during the international phase of examination and adds new Claims 32 to 48.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Signed Declaration will follow).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: A certified copy of Swedish Application No. 9804064-5, filed 25 November 1998, was submitted during the international phase of examination. Thus the claim for priority has been perfected.

A Transmittal of Formal Drawings is filed herewith.

U.S. APPLICATION NO. (If known, see 37 CFR 1.101) 09/856576		INTERNATIONAL APPLICATION NO. PCT/SE99/02197		ATTORNEY'S DOCKET NUMBER 003300-782	
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17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS		PTO USE ONLY	
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 (960) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 (970) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 (958) International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 (956) International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 (962)							
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 1,000.00			
Surcharge of \$130.00 (154) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). 20 <input type="checkbox"/> 30 <input type="checkbox"/>				\$ ----			
Claims	Number Filed	Number Extra	Rate				
Total Claims	27 - 20 =	7	X\$18.00 (966)	\$ 126.00			
Independent Claims	5 - 3 =	2	X\$80.00 (964)	\$ 160.00			
Multiple dependent claim(s) (if applicable)				+ \$270.00 (968)		\$ ----	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,286.00			
Reduction for 1/2 for filing by small entity, if applicable (see below).				\$ 643.00			
SUBTOTAL =				\$ 643.00			
Processing fee of \$130.00 (156) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). 20 <input type="checkbox"/> 30 <input type="checkbox"/>				\$ ----			
TOTAL NATIONAL FEE =				\$ 643.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +				\$ ----			
TOTAL FEES ENCLOSED =				\$ 643.00			
				Amount to be: refunded		\$	
				charged		\$	

a. ☒ Small entity status is hereby claimed.

b. ☒ A check in the amount of \$ 643.00 to cover the above fees is enclosed.

c. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

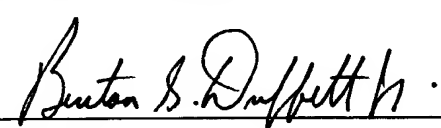
d. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Benton S. Duffett, Jr.
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Filed: May 23, 2001


 SIGNATURE

 Benton S. Duffett, Jr.
 NAME

22,030
 REGISTRATION NUMBER

09/856576

JC18 Rec'd PCT/PTO 23 MAY 2001

Patent

Attorney's Docket No. 003300-782

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of) Box PCT
)
PETER ERIKSSON) Attention: DO/EO/US
)
Application No.: Unassigned) Group Art Unit: Unassigned
(Corresponds to PCT/SE99/02197))
) Examiner: Unassigned
Filed: May 23, 2001)
)
For: MEDICINAL PRODUCT AND)
METHOD FOR TREATMENT OF)
CONDITIONS AFFECTING NEURAL)
STEM CELLS OR PROGENITOR)
CELLS)

TRANSMITTAL OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN: OFFICIAL DRAFTSMAN

Sir:

Applicant submits herewith two (2) sheets of formal drawings (i.e., Figs. 1 to 3).

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Benton S. Duffett, Jr.
Benton S. Duffett, Jr.
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Date: May 23, 2001